# Aditya Birla Sun Life Mutual Fund



# Special Facilities Application Form (STP / SWP)

[	STP SWP											(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FO							FORI	M)									
Ī	Distributor Name & ARN/ RIA No. Sub Broker N					ker Na	ame & ARN/ RIA No.					Employee Unique ID. No. (EUIN) Off						Offi	Official Acceptance Point Stamp & Sign										
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	EUIN is mandatory for "Execution Only" transactions																												
Request for Fresh Registration Renewal																													
Ì	Application / Folio No.										<b>—</b>	Г			Т	1	Date	D	D	М	М								
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	NAME OF FIRST / SOLE A	APPLICANT	Mr.	Ms. M/s			Т		Т	Т				Т		Т	Т	Τ					Т						٦
NAME OF THE SECOND APPLICANT Mr. Ms. M/s.									+	+				+									1						7
NAME OF THE THIRD APPLICANT Mr. Ms. M/s.									+	+				+			-						1						7
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	Mr. Ms. M/s.																												
	RELATIONSHIP OF GUA	RDIAN (Refe	er to Instri	uction No. I	B.9)																								
	Applicant		PAN/PI	EKRN* (Ma	ndatory)									CKYCN	umber	r						_			Dat	e of bir	th**		
	Sole / First Applicant							Prefix	f any					(14	digit ()	KYC Mo	.)						D	D	M	Y	Y	Y	γ
	Second Applicant							Prefix	f any					(14	ligit ()	KYC No	.)						D	D	M	I Y	Y	Y	Υ
	Third Applicant							Prefix	f any					(14	ligit ()	KYC No	.)						D	D	M	I Y	Y	Y	Y
	Guardian							Prefix	f any					(14	digit ()	KYC No	.)						D	D	M	Y	Y	Y	Y
*	Ref. Instruction No. B-6	**Mandatory			ole applic	ant is a N	linor																						
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L	SCHEME PLAN OPTION																												
ł	Withdrawal Option       IPlease tick(√)]       Image: Figure 0																												
1	withdrawat frequency r	adrawal Frequency       Please[tick(/)]       DAILY       WEEKLY       Please[tick(/)]         (Please mention any day between Monday to Friday) (Default day is Wednesday)       (Only Monthly, Quarterly, Half Yearly and Yearly option available for Appreciation Withdrawal)																											
	Dates (Only one date) (Please select 4 dates in case		7th	10th	14t		20th   _	21st		28th	With	drawa	al Peri	od Fro	m D	) D	Μ	M	Y	Y Y	Y	To		D	M	M	YY	Y	Y
3.	SYSTEMATIC TRAN				,		D)											Pleas	e attacr	cancel	lied che	que / cr	ieque co	ργιο ο	ot for ele	ctronic p	ayout.)		
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r	(For Target scheme under			d option n	ot availab	le and fo	· Value S	e STP, only Growth Option available)																					
	STP Frequency[Please tick(//)]							Fro					Value STP				_	Capital Appreciation Transfer Plan Frequency [Please tick(/)]				_							
											iicqu	Quarterly					МО	NTHL				rterly	Lich(v /)						
	(Please mention any day between Monday to Friday, default day is Wednesday)           MONTHLY (max 4 STP dates in a months)         Quarterly								Arr	nount pe	er trans	fer: _							_  т	ransfe	er Perio	od Fror	n	D	D M	М	Y Y	Y	Y
	Amount per transfer:									ansfer P		mo					Ц	Transfer Period To			Y Y	Y	Y						
	Transfer Period From         D         D         M         M         Y         Y         Y							No of Transfers			struct	OR				OR			uction										
	No of Transfers		OR			urther l																				1 IIISUU			
Dates [Please tick()] 1st 10th 10th 20th 21st 28th (Please select 4 dates in case of Fast Forward STP. Applicable only for Monthly STP)									_	_		_																	
) 1 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	DECLARATION AND SIGNATURES Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the scheme(s), I/We hereby apply to the Trustee of Aditya Birla Sun Life Mutual Fund for units of scheme(s) of Matter Birla Sun Life Mutual Fund as indicated above and agree to abide by the terms, conditions, rules and regulations of the scheme (s). I/We hereby declare that the particulars given herein are correct and complete. I/We confirm that I/we here not receive any commission or brokerage or any other incentive in any form, directly or indirectly, for subscribing to units issued under any of the scheme(s). I/We hereby declare that the amount invested in the scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notification or Directions of Income Tax Act, 1961, Prevention of Money Laundering Act, 2002, Prevention of Corruption Act, 1988 or any other applicable laws enacted by the Government of India from time to time. For NRIs/Fils only: I/We confirm that I an/we are Non Residents of Indian Nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-resident Externs Account/FCNR account. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.									nfirm ations ternal																			

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Signature(s)

Second Unit Holde / Third Applicant

## D. INSTRUCTIONS - SYSTEMATIC TRANSFER PLAN (STP)

- 1. Minimum Amount: Al Daily Plan BI Weekly / Monthly/
- Minimum 20 Transfers of ₹ 500/- each and in multiples of ₹ 100/- thereafter For STP installments greater than ₹ 500 but less than ₹ 999. Investors are required to
  - instruct for minimum 12 transfers of ₹ 500 and in multiples of ₹ 1 thereafter. For STP installments greater than ₹ 1000 and above, Investors are required to instruct for Quarterly Plan
    - minimum 6 transfers of ₹ 1000 and in multiples of ₹ 1 thereafter.

Minimum balance in the Scheme at the time of enrolment for any STP Facility: DailySTP ₹ 10,000/-, WeeklySTP ₹ 6,000/-, MonthlySTP ₹6,000/- QuarterlySTP₹8,000/-STP is not available for investments under lock-in period.

- Transfer Frequency available for STP are Daily, Weekly, Monthly and Quarterly
- 5. Transfer Dates :

Paily STP: The commencement date for transfers shall be the 15th business day (or the next business day, if that day is a non business day) from Dany of the commencement one for dansiers state to the for functionies stay for the next obsines soly, indicate y is introducing a state of the date of receipt of a valid request. STP transfers shall be effected on all business days at NM based prices, subject to applicable load. Thus, in the event of an intervening non-business day STP triggers will take place to the next business day are correctly the end date to trigger all installment mentioned by the investor shall be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives to rabin be extended i.e., for e.g. if the investor has been drives the state take the extended i.e., for e.g. if the investor has been drives the state take the extended i.e., for e.g. if the investor has been drives the state take the extended i.e., for e.g. if the investor has been drives the state take the extended i.e., for extender take the extended i.e., for extender take the extended i.e., for extender take the extender take

- Weekly Systematic Transfer Plan: Transfers shall be on Monday, Tuesday, Wednesday, Thursday or Friday of each week
- Monthly Systematic Transfer Plan: Transfers can be on 1 or 7 or 10 or 14 or 20 or 21 or 28 of each month for minimum 6 transfers

Quarterly Systematic Transfer Fran: Transfer Can be on 1 or 7 or 1001 140 2002 to 2 conteact monitoring minimum datasets. Quarterly Systematic Transfer Plan: Transfers can be on 1 or 7 or 1001 140 2002 10 r 260 feach quarter for minimum 4 quarters. Fast Forward Facility: Investors availing monthly STP facility can opt for multiple dates, maximum upto any four dates within a month and in this case the dates can be dated 1 st and / or 7th and / or 10th and/or 14th and/or 20th and/or 21st and/or 28th of each month.

**Default Dates:** Monthly/Quarterly: In case of any ambiguity in selection of transfer frequency, the STP date will be 7 of each month / quarter. In case where more than 4 dates are specified, default dates will be 7, 14, 21 & 28 of each month.

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- Weekly: In case of any ambiguity in selection of transfer frequency, the STP day will be as 'Wednesday'. Minimum Application Amount' specified in the SID(s) of the respective designated Transferee Scheme(s) will not be applicable for STP registration.

Daily STP

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Daily STP a) There will be no maximum limit on number of transfers/duration for Daily STP enrolment. In case, the investor fails to specify the number of transfers/duration under Daily STP, transfers shall continue to be triggered perpetually or until further valid instructions from the investor until the outstanding balance in "Out" scheme does not cover the Daily STP transfer amount. The minimum amount for fresh/additional purchases as per subscription rules of "In" scheme shall note be applicable to Daily STP transfer amount. The minimum amount for fresh/additional purchases as b) Daily STP shall not be available under Monthly Systematic Transfer Facility (STP).

c) Only one Daily STP would be allowed per Plan/Option of the Source Scheme into a distinct Target Scheme. For e.g.: In case Daily STP exists from 'Scheme A-Growth option' into 'Scheme B-Growth

#### 10. Value STP

- Value STP is a facility wherein Unit holder(s) can opt to transfer variable amounts into the Transferee Scheme from the Transferor Scheme on the date of transfer at predetermined intervals. The amount to be transferred will be arrived at on the basis of the difference between the Investment Value [(first installment amount) X (number of instalments; including the current instalment)] and the actual Market Value of the holdings in the Transferee Scheme on the date of transfer.
- The first Value STP installment will be processed for the first installment amount specified by the Unitholder at the time of enrolment. From th second Value STP installment onwards, the transfer amount may be higher or lower than the first installment amount, as derived by the formula stated below
- [(First installment amount) X (Number of installments including the current installment)] (Market Value of the investments through Value STP in the Transferee Scheme on the date of transfer)
- In ough value 51 m with remarker subleme on the date of density of On the date of transfer, if the market value of the investments in the Transferee Scheme through Value STP is higher than the Investment Value for that month [(first installment amount) X (number of installments; including the current installment)], then there would be no transfer effected from the Transferor Scheme.
- transfer effected from the transfer as coheme. If the amount of transfer as calculated by the above formula is less than Rs.500, then the default amount of Rs. 500 will be transferred to the Transferee Scheme. However, in case of redemption or switch-out of Units allotted under Value STP in the Transferee Scheme, the balance instalments under Value STP will be processed for the fixed instalment amount only as specified by the Unitholder at the time of anyonent. Ь enrolment
- Minimum balance in the Scheme at the time of enrolment for any STP Facility: DailySTP ₹ 10,000/-, WeeklySTP ₹ 6,000/-, MonthlySTP ₹ 6,000/-Minimum balance in the Scheme at the time of enroument for any STP facility: Quarterly STP 8,000/-STP is not available for investments under lock-in period. Transfer Frequency available for STP are Daily, Weekly, Monthly and Quarterly

### Transfer Dates :

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Iranster uates: Daily STP: the commencement date for transfers shall be the 5th business day (or the next business day, if that day is a non business day) from the date of receipt of a valid request. Thereafter, transfers shall be effected on all business days at NAV based prices, subject to applicable exit load. Thus, in the event of an intervening non-business day STP triggers will not take place and consequently the total number of Daily STP instalments opted by the investor will be adjusted to that extent i.e., for egi, it the investor has opted for 100 instalment and if 3 non-business days happen to occur in the intervening period, then only 97 Daily STP instalments shall be triggered. Weekly Systematic Transfer Plan: Transfers shall be on Monday, Tuesday, Wednesday, Thursday or Friday of each week

Monthly Systematic Transfer Plan: Transfers can be on 1 or 7 or 10 or 14 or 20 or 21 or 28 of each month for minimum 6 transfers

Quarterly Systematic Transfer Plan: Transfers can be on 1 or 7 or 10 or 14 or 20 or 21 or 28 of each quarter for minimum 4 6.

- Fast Forward Facility: Investors availing monthly STP facility can opt for multiple dates, maximum upto any four dates within a month and in this case the dates can be dated 1st and / or 7th and / or 10th and/or 14th and/or 20th and/ or 21st and/ or 28th of each month. Default Dates: 7.
- Monthly/Quarterly: In case of any ambiguity in selection of transfer frequency, the STP date will be 7 of each month / quarter. In case where more than 4 dates are specified, default dates will be 7, 14, 21 & 28 of each month.

- Weekly: In case of any ambiguity in selection of transfer frequency, the STP day will be as 'Wednesday'. Minimum Application Amount' specified in the SID(s) of the respective designated Transferee Scheme(s) will not be applicable for STP registration. Daily STP 8.
- Uaity SIP a) There will be no maximum limit on number of transfers/duration for Daily STP enrolment. In case, the investor fails to specify the number of transfers/duration under Daily STP, transfers shall continue to be triggered perpetually or until thruther valid instructions from the investor until the outstanding balance in "Out" scheme does not cover the Daily STP transfer amount. The minimum amount for fresh/additional purchases as per subscription rules of "In" scheme shall not be applicable to Daily STP b) Daily STP shall not be available under Monthly Systematic Transfer Facility (STP) For Aditya Birla Sun Life Century SIP.

c) Only one Daily STP would be allowed per Plan/Option of the Source Scheme into a distinct Target Scheme. For e.g.: In case Daily STP exists from 'Scheme A-Growth option' into 'Scheme B-Growth

10 Value STP

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- Peaks from "Scheme A- Growth option" into "Scheme B-Growth ues TP Yalue STP is a facility wherein Unit holder(s) can opt to transfer variable amounts into the Transferre Scheme from the Transferro Scheme on the date of transfer at predetermined intervals. The amount to be transferred will be arrived at on the basis of the difference between the Investment Value (first installment amount) X (number of instalments; including the current instalment) and the actual Market Value of the holdings in the Transferree Scheme on the date of transfer. The first Value STP installment will be processed for the first installment amount) X (number of instalments; installment amount), as derived by the formula statebellow: [[First installment amount], a derived by the formula statebellow: [[First installment amount], a derived by the formula statebellow: [[First installment amount], as derived by the formula statebellow: [[First installment amount], a derived by the formula statebellow: [[First installment amount], a derived by the formula statebellow: [[First installment amount], a derived by the formula statebellow: [[First installment amount], a derived by the formula statebellow: [[First installment amount] / X (Number of installments; including the current installment]] (Market Value of the investment Value for that month [[[first installment amount] X (number of installments; including the current installment]], then there would be not transfered form the Transfereo Scheme. If the amount of transferee Scheme, however, in case of redemption or switch-out of Units allotted under Value STP in the Transfereo Scheme, the Jance instalment sunder Value STP will be processed for the fixed installment amount) as specified by the Unitholder at the time of enrolment. The total amount invested through Value STP over is tanuary in the Transferee Scheme, may be higher or lower than the Total Investment Value of the investment i.e. the [[[first installment amount] X (total number of installment specified by the U

The frequencies available under this facility are as follows: Monthly & Quarterly g.

Frequency	Trigger Dates	Minimum Value STP amount (Rs.)							
Monthly Quarterly	1 <sup>st</sup> , 7 <sup>th</sup> , 10 <sup>th</sup> , 14 <sup>th</sup> , 20 <sup>th</sup> , 21 <sup>st</sup> , 28 <sup>th</sup>	For STP installments greater than ₹ 500 but less than ₹ 999, Unitholders are required to instruct for minimum 12 transfers of ₹ 500 and in multiples of ₹ 1 thereafter.							
		For STP installments of $\overline{\tau}$ 1000 and above, Unitholders are required to instruct for minimum 6 transfers of $\overline{\tau}$ 1000 and in multiples of $\overline{\tau}$ 1 thereafter.							

- In case none of the frequencies have been selected or in case of any ambiguity. Monthly frequency shall be treated as the default Frequency. If STP date is not specified or in case of any ambiguity then 7th Business Day of the month will be treated as default date.
- default date. In case the date of transfer falls on a Non-Business Day, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV. In case of valid enrolment forms received, indicating choice of option other than the Growth Option in the Transferee Scheme, it will be deemed as the Growth Option in Transferee Scheme and processed accordingly. A Single Value STP Enrolment Form can be filled for transfer into one Scheme/Plan/Option only Units that the backtot drift of Date parties to (cho are derive the reading such as the langt of the scheme of the scheme (Plan/Option and)
- k.
- m.
- Unitholder has the right to discontinue Value STP at any time he/ she so desires by sending a written request at least 7 (seven) in advance to any of the offices of the Fund or its Authorised Collection Centres. Value STP will be terminated / not processed under the following circumstances: (i) On marking of lien or pledge of Units in the Transferor Scheme (ii) On receipt of intimation of death of the Unitholder n.

Acknowledgement Slip (To be filled in by the Investor)	SPECIAL FACILITIES APPLICATION FORM					
Application No.	AB	Collection Centre / SLAMC Stamp & Signature				
Received from Mr. / Ms Date	://					
Aditya Birla Sun Life AMC Limited (Investment Manager to Aditya Birla Sun Life Mutual Fund) Regn. No.: 109. Regd Office: One World Center, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Prabhadevi, Mumbai - 400013 +91 22 4356 7000   care.mutualfunds@adityabirlacapital.com   www.adityabirlasunlifemf.com   CIN: U65991MH1994PLC080811	Contact Us: 1800-270-7000 adityabirlacapital.com	ADITYA BIRLA CAPITAL				

#### Illustration:

Transferor Scheme:		ABSL Low Duration Fund - 0	Growth			Amount of Transfe	er per Installment:		10,000				
Transferee Scheme:		ABSL Frontline Equity Fund	- Growth		Number of Install	ments:		12					
Date & Frequency of Value	STP :	14th of every month, Mont	hly		Enrolment Period	Enrolment Period :			January 2018- December 2020				
NAV of Date ABSL Low Duration Fund		No. Of Units Transfered from ABSL Low Duration Fund	No. Of Units Outstanding in ABSL Low Duration Fund	NAV of ABSL Frontline Equity Fund - Growth	Pr	Market Value of ev Units (Rs.)	Value STP Amount (Rs.)		of Units to witched to L Frontline Fund - Growth	Outstanding Units in ABSL Frontline Equity Fund - Growth	First Installment Amount X No of installment		
14-Jan-20	330.0127	30.3019	3008.5246	157.73		10000.00	10000.00		63.3995	63.3995	10,000.00		
16-Feb-20         332.1741           16-Mar-20         334.3433           15-Apr-20         336.9915		28.1598	2980.3648	2980.3648 167.92		10646.04	9,353.96	55.7049		119.1043	20,000.00		
		30.8035	2949.5613 165.41		165.41	19701.05	10,298.95	62.2632		181.3675	30,000.00		
		28.1082	2921.4531	168.32		30527.78	9,472.22		56.2751	237.6426	40,000.00		
14-May-20	338.796	35.6188	2885.8343	159.62		37932.51	12,067.49		75.6014	313.2440	50,000.00		
15-Jun-20	341.4352	31.9854	2853.8490	156.68		49079.06	10,920.94		69.7022	382.9461	60,000.00		
14-Jul-20	343.6009	18.5268	2835.3221	166.17		63634.16	6,365.84		38.3092	421.2553	70,000.00		
14-Aug-20	345.9945	25.5784	2809.7437	168.9		71150.03	8,849.97	!	52.3977	473.6530	80,000.00		
14-Sep-20	347.8153	44.9699	2764.7739	156.99		74358.79	15,641.21		99.6319	573.2849	90,000.00		
14-0ct-20 350.6926		22.7444	2742.0294	160.52		92023.70	7,976.30		49.6904	622.9753	1,00,000.00		
16-Nov-20	352.8977	35.7511	2706.2783	156.32		97383.50	12,616.50		80.7094	703.6847	1,10,000.00		
14-Dec-20	354.4235	34.2108	2672.0675	153.3		107874.87	12,125.13		79.0941	782.7789	1,20,000.00		

Calculation of Value STP amount of the second installment i.e. 16-Feb-20:

First Installment Amount-₹10000/a.

Number of installments including the current installment is 2 b.

Total of 63.3995 units allotted up to the date of last installment i.e. January 2020 in ABSL Frontline Equity- Growth. The NAV of ABSL Frontline Equity- Growth on February 16, 2020 is 167.92/- per unit. Hence the market value of the investment in ABSL Frontline Equity- Growth on the c. date of transfer is ₹ 1,0646.04 [167.92 X 63.3995].

d. Amount Derived for second installment Amount from the formula - [(First installment amount) X (Number of installments including the current installment)] - (Market Value of the investments through Value STP in the Transferee Scheme on the date of transfer) is -[(₹10000)X2]-₹10,646.04=₹9,353.96

12. Capital Appreciation Transfer Plan

Capital Appreciation Transfer Plan is a facility wherein the Unitholders can opt for the Systematic Transfer Plan by providing instruction to transfer capital appreciation at regular intervals - Monthly or Quarterly under the open-ended Scheme(s) of the fund (except Aditya Birla Sun 

a. Sun Life Gold ETF and Aditya Birla Sun Life Nifty ETF, Aditya Birla Sun Life SENSEX ETF, Aditya Birla Sun Life Nifty Next 50 ETF. The transfer shall take place at a defined time on a periodic basis.

b.

The minimum amount required to trigger instalment under Capital Appreciation Transfer Plan is ₹ 1 and in multiples of Re. 1 thereafter

The capital appreciation, if any, will be calculated from the registration date of the Capital Appreciation Transfer under the folio, till the first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous successful Capital Appreciation Transfer date. Subsequent capital appreciation appreciation fransfer at the time of the Sapital Appreciation Transfer date. Appreciation will be calculated on the units available for transfer at the time of the STP instalment. d. e.

Capital Appreciation Transfer Plan is offered at Monthly and Quarterly intervals. In case none of the frequencies have been selected or in case of any ambiguity, Monthly frequency shall be treated as the default Frequency. If STP date is not specified or in case of any ambiguity then 7th Business Day will be considered for the purpose of determining the applicability of NAV. A Single Capital Appreciation Transfer Enrolment Form can be filled for transfer into only one Scheme/Plan/Option. f.

Unitholder has the right to discontinue Cap STP at any time he/ she so desires by sending a written request at least 7 (seven) days in advance to any of the offices of the Fund or its Authorised Collection Centres. g.

h. Capital Appreciation Transfer Plan will be terminated / not processed under the following circumstances: (i) On marking of lien or pledge of Units in the Transferor Scheme (ii) On receipt of intimation of death of the Unitholder

E. LOAD STRUCTURE

Load Structure as applicable to respective schemes on the date of withdrawal. SWP STP

Exit Load at time of transfer OUT: Exit Load as applicable to respective schemes. Entry Load at time of transfer IN: Nil Exit Load at time of redemption: Exit Load as applicable to respective schemes.

		*	
ACKNOWLEDGEMENT SLIP (To be filled in by the Inv	vestor)	SPECIAL FACILITIES API	PLICATION FORM
An application for SYSTEMATIC WITHDRAWAL PLAN / S	YSTEMATIC TRANSFER PLAN		Request for
Scheme Name	Plan	Option	Fresh Registration
To Scheme Name	Plan	Option	Renewal
Amount (₹)			