

Agency Code No.
(For Office use only)

BOB MUTUAL FUND

(Investment Manager : BOB Asset Management Company Ltd.)



APPLICATION FOR EMPANELEMENT OF AGENTS

To,

BOB Asset Management Company Ltd.,

105, 10th Floor, Maker Chambers III, Nariman Point, Mumbai - 400 021.

Tel. : 2285 3323 to 3326 Fax : 2288 0009

E-mail : marketing@bobmf.com Website : www.bobmf.com

PHOTO

Dear Sir,

We have read and understood all the terms and conditions for Agency of BOB Mutual Fund mentioned on the reverse hereof and I/We hereby offer myself/ourselves for appointment as Agent of BOB Mutual Fund. I/We warrant that I/We have truthfully and fully answered the questions below and provided all the information which might be reasonably relevant for the purposes of my/our empanelment and I/We will promptly notify you of any changes in the information during the period that my empanelment is being considered and if I am/we are empanelled, thereafter. I/We understand that the information provided by us, if found incorrect or misleading, at any stage, will render my/our application for empanelment null and void and if already empanelled, will lead to termination of the Agency. I/We declare that the information furnished hereinabove is true to the best of my/our knowledge and belief.

APPLICANT'S PARTICULARS

1. Full Name of the Applicant : _____
2. Address of the Applicant _____
_____ PIN _____
Tel. : _____ Fax : _____ E-mail : _____
Contact Person : _____
3. Correspondence Address (if different from above) : _____
_____ PIN _____
Tel. : _____ Fax : _____ E-mail : _____
Contact Person : _____
4. Occupation : _____
5. Constitution : Individual / Sole Proprietorship / Partnership / Company / Others (pl. specify) _____
6. Date and Place Incorporation / Registration Establishment : _____
7. Particulars of all Directors / Partners / Proprietor / Individual : _____

Name	Qualification	Experience

BUSINESS INFORMATION

1. Details other agencies held with code No. : _____
(UTI, LIC, GIC, Post Office, Mutual Fund etc.) _____
(Please attach copies of enrolment letters) _____
2. Funds procured in the last two years' for each _____
institution (yearwise) _____

Authorise Signature		
Full Name		
Place		
Date		

(To be signed by two directors, two partners or the sole proprietor / individual, as applicable)

(Please attach additional sheets wherever necessary)

Note : Please attached AMFI Certificate and ARN with this application.

TERMS AND CONDITIONS FOR AGENTS OF BOB MUTUAL FUND

A. EMPANELMENT OF THE AGENCY

1. Prospective Agents are required to fill in the prescribed Application Form in full in Block Letters.
2. Applications must be signed by two directors (in case of a limited company or a body of corporate), two partners (in case of partnership) or the sole proprietor / individual making the Application.
3. Application Forms which are incomplete or incorrectly filled are liable to be rejected.
4. In case of an Application under Power of attorney or by a limited company or a body of Corporates, the original Power of Attorney or a duly notarised copy thereof or the relevant resolution or authority to make the Application, as the case maybe or a duly certified copy thereof, alongwith a certified copy of the memorandum and the articles of association, if any, must accompany the application.
5. The Application must be submitted at the Registered Office of BOB Asset Management Company Ltd., the Investment Manager to BOB Mutual Fund during hours, alongwith appropriate supporting documents, against acknowledgement thereof by a duly authorised official of the Investment Manager.
6. The Investment Manager may employ such means, as it deems fit to ascertain the veracity of the particulars stated in the application form.
7. The Investment Manager reserves the sole and absolute right to accept or reject Applications. Without assigning any reason therefor. The decision of the Investment Manager as regards any representation regarding rejection of any application shall be absolute and final.
8. The Agency shall commence from the date of acceptance of the Application by the Investment Manager.
9. On acceptance of the application, the Investment Manager shall allot a numeric Agency Code Number to the Agency, which will be unique for each. Agency and shall be quoted by the Agent in all the Agency's correspondence with the Investment Manager.
10. The filled in Application Form is the property of the Investment Manager and shall not be returned to the Applicant under any circumstances. The Investment Manager reserves the right to use the information provided therein, as it deems fit, without any further reference to the Applicants, in this regard.
11. The Investment Manager shall treat each Agency (having a unique Agency code no.) as a separate entity and will not entertain any request from the Agent(s), for creating more than one Agency as a single entity, for any purposes whatsoever.
12. The Agency shall be initially valid up to 31st March, 2001 Depending upon the performance of the Agent, the Investment Manager may at its discretion empanel the Agent for further period(s).

B. OPERATION OF THE AGENCY

1. The Agent is expected to take interest in the Agency work and to popularise the various Schemes which would be floated by BOB Mutual Fund from time to time.
2. The Agent shall not give any information or make any representations not confirmed by the Offer Document(s) of the BOB Mutual fund Scheme(s), in connection with the Offer or Issue of Units.
3. The Agents shall ensure that the Agency's stamp on the application forms issued by it clearly states the Agency's name and the Agency Code No.
4. The Agent shall use the publicity and other material provided by BOB Mutual Fund in a judicious manner and ensure that there is no wastage thereof.
5. The Agent is expected to assist the Investor in filling up the application forms for their lodgement alongwith payment with the authorised collection centres and furnish acknowledgements thereof, issued by the authorised collection centres to the Investors.
6. The Agent is not authorised to receive cash and / or Stock Invest by way of payment along with the application forms.
7. The Agents is also not authorised to receive moneys on behalf of BOB Mutual Fund issue receipts therefor.

8. The agent is eligible to receive commission / brokerage (and incentive, if any) at the rate specified, from time to time by the Investment Manager of the concerned Scheme.
9. The commission / brokerage (and incentive, if any) payable to the Agent shall be remitted only after ascertaining specific predetermined time. No commission would be paid on case to case basis.
10. No payments other than the commission / brokerage (and incentive, if any) will be made for any cost / expenses incurred by the Agent in connection with the Agency and the Agent shall not be entitled to any other benefits of whatsoever nature.
11. BOB Mutual Fund or the Investment Manager
 - shall not be responsible or liable to the Agent for any loss incurred due to change in the commission / brokerage (and incentive, If any).
 - shall not liable to pay any commission / brokerage (and incentive, if any) on application form not clearly bearing the name and the Agency Code No. of concerned Agent.
 - reserves the right to accept or reject any application made by any Investor and the Agent shall not be eligible for commission / brokerage (and incentive, if any) in respect of the applications so rejected. The decision of BOB Mutual Fund, in this regard shall be conclusive and binding on the Agent.
 - shall be entitled to recover or adjust all such amount as are wrongly paid to an Agent from out of the commission / brokerage (and incentive, if any) payable by the Investment Manager to the Agent.
 - does not take any fiduciary interest in the financial performance of the Agency and shall, in no case be responsible or liable either for any financial loss incurred by the Agent or in respect of any legal, financial or commercial disputes that may arise in respect of the Agency between the Agent and Investor(s) or a third party.
12. Both the Agent as well as the Investment Manager shall have a right to terminate the Agency, without penalty, after the expiry of thirty days, from the date of the written notice given by one to the other. In event such notice is given, the Agent shall be liable to deliver to the Investment Manager all material pertaining to the BOB Mutual Fund Scheme(s), then held by the Agency of the Agency's cost, prior to receiving from the Investment Manager any commission / brokerage (and incentive, if any) which the Agency shall then be entitled, if any. Any such termination shall not effect the rights and liabilities of either the Agent or the Investment Manager occurring prior to such termination.

C. GENERAL

1. The Agent shall have any lien over any property of the Investment Manager, for the time being in possession of the Agency.
2. The Agent shall not assign, transfer or change all or any of Agency's right and benefits to any other person or entity without the prior written consent of the Investment Manager.
3. The Agent shall not disclose to any person, not authorised by the Investment Manager, any confidential information relating to BOB Mutual Fund or the Investment Manager or the affairs, that the Agent becomes possessed of during the continuance of the Agency.
4. The Agent shall, at all times conduct the Agency with propriety and decorum and in a manner which is not prejudicial to the interest of BOB Mutual Fund, the Investment Manager or the Investors.
5. All notices and communications to the Agent shall be in writing and in the English language and shall be sent to the last known address of the Agency, as per the records of the Investment Manager.
6. The Investment Manager reserves the right to add to or otherwise vary or alter the above mentioned terms and conditions, without prior notice and the Agent agrees that such amendments shall be binding on the Agency, without prejudice to the Agent's right to terminate the Agency, as provided above.
7. In respect of all disputes arising out of or in relation to the Agency, the courts in Mumbai alone shall have jurisdiction.